



Codebook for Comprehensive Sales Rubric

2024

This codebook provides explanatory information about the questions, response options, scores, and scoring criteria in the <u>Comprehensive Sales Rubric</u> so that PETS users can better understand how the rubric provides the rationale for analyzing and grading California's local tobacco prevention policies.

The Coder Instructions below provide an overview of the rigorous analysis guidelines used by PETS analysts to inform the analysis and scoring of every tobacco prevention policy against each rubric question.

Scoring Criteria

The Comprehensive Sales Rubric questions, response options, scores, and scoring criteria were developed and reviewed by tobacco prevention policy experts at ANRF in consultation with CTPP and the PETS Advisory Committee, including attorneys with expertise in local tobacco prevention policy language. Model policy language referenced in the development of the Comprehensive Sales Rubric is from The Law and Policy Partnership to End the Commercial Tobacco Epidemic's <u>California Comprehensive Tobacco Retailer Licensing Ordinance (2020)</u>, and ChangeLab Solutions' <u>Model Ordinance: Comprehensive Tobacco Retailer Licensing (2019)</u>.

Rubric language that is crossed out (e.g. Q1a) indicates that PETS analysis is temporarily not being done on these questions and thus data is not yet available for these fields. PETS will resume analysis and data collection on these questions at a future date.

Question	1. Does the community require a local tobacco retail license (TRL) for all retailers?
Category	Specificity
Response Options & Scores	• Yes=8
	No/Partial=0
Total number of points	8
Coder instructions	Yes = Policy requires ALL tobacco retailers to obtain a license to sell tobacco leaf products.
	No/Partial = Policy does not require that all merchants to obtain a license to sell tobacco. If "Partial," answer all Q1 sub-questions, including Q 1i. to specify why the response is Partial.

Question	1a. If there is a TRL, is the local applicant required to have a State
	TRL?
Category	Tracking
Response Options & Scores	Yes; No; Not Specified
Total number of points	θ
Coder instructions	

Question	1a1. Must the State TRL be displayed?
Category	Tracking
Response Options & Scores	Yes; No; Not Specified
Total number of points	θ
Coder instructions	

Question	1b. If there is a TRL, what is the initial license fee?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Indicate the initial license fee, combined with any stated application fee for a total amount. Note: The license fee is often not included in the policy and may
	instead be decided upon later as part of an administrative process.

Question	1c. What is the term of the TRL, if there is one?
Category	Restrictiveness
Response Options & Scores	Yes, 1 year term or less=1
	Longer than 1 year/No mention of the term/No TRL=0
Total number of points	1
Coder instructions	Yes, 1 year term or less = License must be renewed annually, or earlier.
	Longer than 1 year = License is valid for more than one year.
	No mention of the term = No mention of renewal.
	No TRL = No TRL is required

Question	1d. What is the fee for the renewal of the TRL, if there is one?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Indicate renewal fee if specified or Not Stated if unknown

Question	1e. Does the policy specify that the fee be used to cover administration/enforcement costs?
Category	Tracking
Response Options & Scores	Yes; No; Not Specified
Total number of points	θ
Coder instructions	

Question	1f. If there is a TRL, is there a provision in the policy to submit a
	reinstatement application fee to renew an expired license?
Category	Enforcement
Response Options & Scores	◆ Yes=1
	◆ No/Not Specified=0
Total number of points	1
Coder instructions	

Question	1g. If there is a TRL, is it nontransferable from one proprietor to another?
Category	Restrictiveness
Response Options & Scores	• Yes=1
	No/Not Specified=0
Total number of points	1
Coder instructions	Yes = Policy prohibits license transfer (e.g. license is nontransferable) from one proprietor to a person other than the original applicant.
	No/Not Specified = Licenses may be transferred from one proprietor to a person other than the original applicant, or the policy does not address proprietor transfer of license.

Question	1h. If there is a TRL, is it nontransferable from one store to another?
Category	Restrictiveness
Response Options & Scores	• Yes=1
	No/Not Specified=0
Total number of points	1
Coder instructions	Yes = Policy prohibits license transfer (e.g. license is nontransferable) from a store to another location other than the original store.
	No/Not Specified = Licenses may be transferred from a store to another location other than the original store, or the policy does not address store transfer.

Question	1i. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q1 is Partial, specify why a TRL is required for some but not all retailers. Note: The Partial response option was added to the rubric because a small number of jurisdictions, all in one county, require a TRL only of retailers who have previously been found in violation of sales requirements. These jurisdictions otherwise do not require retailers to obtain a TRL.

Question	2. Does the policy apply to sale of combustible smoking tobacco products such as cigarettes, cigars, little cigars, hookah/shisha tobacco, and pipe tobacco?
Category	Specificity
Response Options & Scores	 Yes, applies to all combustible products without exception=2 Partial/No/Unclear=0
Total number of points	2
Coder instructions	Yes = The policy's restrictions apply to all combustible tobacco products (e.g. cigarettes, cigars, little cigars, hookah/shisha tobacco, and pipe tobacco).

Partial Product = The policy's restrictions apply to some combustible tobacco products but not all, either because only some types are identified as restricted, or one or more combustible tobacco products is specifically exempted in some manner.
No = The policy's restrictions do not apply to combustible tobacco products.
Unclear = It cannot be determined from the policy language whether or not the policy's restrictions apply to at least some combustible tobacco products. If it is unclear whether all are covered, as opposed to just some, this option is appropriate.

Question	2a. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q2 is marked Partial Product, provide details on the partial
	combustible product coverage.

Question	2b. If Yes/Partial, are some or all of the provisions set out within the
	framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	

Question	3. Does the policy apply to sale of all <u>smokeless</u> tobacco products such as chew, snuff, snus, dissolvables, etc.?
Category	Specificity
Response Options & Scores	Yes, applies to all smokeless tobacco products without exception=2 Partial/No/Unclear=0
Total number of points	2
Coder instructions	Yes = The policy's restrictions apply to all smokeless tobacco products (e.g. chew, snuff, snus, dissolvables, etc.)
	Partial = The policy's restrictions apply to some smokeless tobacco products but not all, either because only some types are identified as restricted, or one or more smokeless tobacco products is specifically exempted in some manner.
	No = The policy's restrictions do not apply to smokeless tobacco products.
	Unclear = It cannot be determined from the policy language whether or not the policy's restrictions apply to at least some smokeless tobacco products. If it is unclear whether all are covered, as opposed to just some, this option is appropriate.
	Note: Smokeless tobacco includes products containing tobacco leaf that are not combustible, such as chew, snuff, and snus but <i>not</i> heated/heat-not-burn products – they have their own category,

because while no smoke is inhaled from heated products, there are
secondhand airborne emissions.

Question	3a. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q3 is marked Partial, provide details on the partial smokeless product
	coverage.

Question	3b. If Yes/Partial, are some or all of the provisions set out within the
	framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	4. Does the policy apply to sale of tobacco-free nicotine products other than electronic smoking devices (ESDs) (e.g. lozenges, pouches like Zyn, etc.)?
Category	Specificity
Response Options & Scores	 Yes, applies to all tobacco-free nicotine products without exception=2 Partial/No/Unclear=0
Total number of points	2
Coder instructions	Yes =The policy's restrictions apply to all tobacco-free non-ESD nicotine products without exception.
	Partial = The policy's restrictions apply to some tobacco-free non-ESD nicotine products but not all, either because only some types are identified as restricted, or one or more tobacco-free non-ESD nicotine products is specifically exempted in some manner.
	No = The policy's restrictions do not apply to tobacco-free non-ESD nicotine products.
	Unclear = It cannot be determined from the policy language whether or not the policy's restrictions apply to at least some tobacco-free non-ESD nicotine products. If it is unclear whether all are covered, as opposed to just some, this option is appropriate.
	Note: This category is for nicotine products that are not vaped and contain no tobacco leaf. In order for the policy to apply to tobacco-free non-ESD nicotine products, it must either specifically name these products (e.g. lozenges, pouches like Zyn, etc.) or contain language in the definition of "tobacco product" that contains the following language or similar: "a product containing or delivering nicotine or any other substance intended for human consumption" or a product "derived from tobacco" or "a product or formulation of matter containing biologically active amounts of nicotinewith the expectation that the productwill be introduced into the human body."

Question	4a. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q4 is marked Partial, provide details on the partial tobacco-free
	nicotine product coverage.

Question	4b. If Yes/Partial, are some or all of the provisions set out within the
	framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	5. Does the policy apply to sale of ESDs (including but not limited to e-cigarettes, e-hookah, vape pens, e-liquids, etc.)?
Category	Specificity
Response Options & Scores	 Yes, applies to all ESDs without exception=2 Partial=1 No/Unclear=0
Total number of points	2
Coder instructions	Yes, applies to all ESDs without exception= The policy's restrictions apply to all ESD products in the same manner as one another.
	Partial = The policy's restrictions apply to certain ESD products that are named as covered (at least one is covered), or only ESD products containing nicotine are covered by virtue of narrow product definitions naming nicotine as a required constituent, or one or more ESD products is exempted.
	No/Unclear = The policy's restrictions do not apply to all ESD products in the same manner as one another, but not enough is known to specify the "partial" parameters.
	Note: In order for the policy to apply to Electronic Smoking Devices (ESDs), it must either specifically name them or have language in the definition of "tobacco product" that contains something akin to the following: "a product containing or delivering nicotine or any other substance intended for human consumption that can be used by a person in any manner for the purpose of inhaling vapor or aerosol from the product" or "e-cigarette" or similar terminology specifically associated with ESDs or "a product or formulation of matter containing biologically active amounts of nicotinewith the expectation that the productwill be introduced into the human body." A policy adopted prior to 2008 would also be considered to apply to ESDs containing nicotine, even though ESDs were not on the market at the time. If a place prohibits the sale of ESDs that are new products and require FDA approval, or that require premarket review, or any similar language, note the exact language and include "FDA" in the note.

Question	5a. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q5 is marked Partial, provide details on the partial ESD product
	coverage.

Question	5b. If the policy fully or partially applies to the sale of ESDs, are some
	or all of the provisions set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No; N/A
Total number of points	
Coder instructions	

Question	6. Does the policy apply to sale of heated tobacco products (e.g. IQOS)?
Category	Specificity
Response Options & Scores	 Yes, applies to all heated tobacco products without exception=2 Partial/No/Unclear=0
Total number of points	2
Coder instructions	Yes = The policy's restrictions apply to all heated tobacco products (e.g. IQOS and other heated cigarette products) without exception.
	Partial = The policy's restrictions apply to some heated tobacco products but not all, either because only some types are identified as restricted, or one or more heated tobacco products is specifically exempted in some manner.
	No = The policy's restrictions do not apply to heated tobacco products.
	Unclear = It cannot be determined from the policy language whether or not the policy's restrictions apply to at least some heated tobacco products is restricted. If it is unclear whether all are covered, as opposed to just some, this option is appropriate.
	Note: In order for the policy to apply to heated tobacco, it must either specifically say "heated" or "heat-not-burn" or have language in the definition of "tobacco product" that requires actual tobacco leaf/tobacco-derived substance, or contains the following language or similar: "a product containing or delivering nicotine or any other substance intended for human consumption" or that contains language referencing "a product or formulation of matter containing biologically active amounts of nicotinewith the expectation that the productwill be introduced into the human body." This is because such language indicates that combustion is not the triggering factor, but rather, tobacco/nicotine as an ingredient is the trigger. A policy adopted prior to 2020 when heated tobacco was introduced into the market would still be considered to apply to heated tobacco if the above is satisfied. However, if combustion is required for regulation, heated tobacco products would not be considered among a policy's regulated products. IQOS is an example of a heated tobacco product.

Question	6a. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q6 is marked Partial, provide details on the heated tobacco product
	coverage.

Question	6b. If Yes/Partial, are some or all of the provisions set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	7. Does the policy apply to the sale of paraphernalia and/or components, parts, or accessories of a regulated product, whether or not sold separately?
Category	Specificity
Response Options & Scores	Yes, in some manner=2No/Unclear/Not Specified=0
Total number of points	2
Coder instructions	Yes, in some manner = The policy restricts the sale or distribution of paraphernalia/components/parts/accessories in some manner.
	No = The policy does not restrict the sale or distribution of paraphernalia/components/parts/accessories.
	Unclear = It cannot be determined from the terms of the policy whether or not the sale of at least one or more types of paraphernalia/components/parts/accessories is restricted.
	Not Specified = This product category is not addressed by the policy.
	Note: This category is somewhat amorphous, but consists of items designed or marketed to aid in the consumption/use of tobacco and/or other nicotine products of interest. Examples include pipes, bongs, rolling papers, and flavor enhancers. Policy language is likely to use the words (paraphernalia/component/accessory/part). PETS is not concerned with marijuana products in this category.

Question	7a. If Yes or Partial, are some or all of the provisions set out within
	the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	8. Does the policy end the sale of all tobacco leaf products, whether
	smoked or ingested (excluding ESDs)?
Category	Restrictiveness
Response Options & Scores	• Yes=5

	Partial - Venue(s)=3Partial - Product(s)=1
	• No=0
	If 8a and 8b both apply, point value=1.
Total number of points	
Coder instructions	Yes = Policy ends the sale of all products containing tobacco leaf, whether smoked or ingested, in the municipality without exception. (note: ESDs are not considered tobacco leaf products).
	Partial-Venues = Policy ends the sale of all products containing tobacco leaf, whether smoked or ingested, in the municipality except that sales are still permitted at one or more types of venues (e.g. existing venues, cigar bars, hotels).
	Partial-Products = Policy ends the sale of all products containing tobacco leaf, whether smoked or ingested, in the municipality except that one or more types of product can still be sold (e.g. premium cigars, hookah, pipe tobacco).
	No = The policy does not have a blanket sales prohibition on the category of tobacco leaf products.
	Note: Any product containing tobacco leaf fits the category of "tobacco leaf product," including traditional tobacco products such as cigarettes/cigars/cigarillos/chew/snuff, as well as more recently evolved products such as heated tobacco products (e.g. IQOS). This category does not include nicotine products containing no actual tobacco leaf, such as tobacco-free nicotine pouches (e.g. Zyn), lozenges, and electronic smoking devices. If Partial, mark all partial options that apply.

Question	8a. If Partial - Venue(s), specify coverage (e.g. cigar bars exempt).
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q8 is Partial - Venue(s), specify the type(s) of exempted venues. Note: Text field captures the type(s) of venues where sales are still permitted (e.g. existing venues, cigar bars, hotels), when a policy ends the sale of all products containing tobacco leaf, whether smoked or ingested, in the municipality except for one or more specified types of venues.

Question	8b. If Partial - Product(s), specify coverage (e.g. premium tobacco/cigars exempt).
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q8 is Partial - Product(s), specify the type(s) of exempted products.
	Note: Text field captures the type(s) of products that can still be sold
	(e.g. premium cigars, hookah, pipe tobacco) when a policy ends the

sale of all products containing tobacco leaf, whether smoked or
ingested, in the municipality except for one or more specified products.

Question	8c. If Yes or Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	9. Does the policy end the sale of all ESDs including but not limited to
	e-cigarettes, e-hookah, vape pens, e-liquids, etc.?
Category	Restrictiveness
Response Options & Scores	• Yes=5
	• Partial=3
	• No=0
Total number of points	5
Coder instructions	Yes = Policy ends the sale of all ESDs/vaping products in the municipality without exception.
	Partial-FDA premarket review exemption = Policy ends the sale of all ESDs/vaping products in the municipality, except for products that have been authorized for sale under the FDA's premarket review process.
	Partial-RTS exempt = Policy ends the sale of all ESDs/vaping products in the municipality, except at adult-only and/or specialty tobacco retailers.
	Other Partial: (text field) = Policy ends the sale of all ESDs/vaping products in the municipality, except for specified exemption(s) (e.g. Policy ends the sale of all ESDs except for products that do not contain nicotine, either by specifically exempting products that do not contain nicotine or defining ESDs to only include products containing nicotine; Policy ends the sale of only single-use ESDs; Policy ends the sale of all ESDs except for sales in hotels for guests)
	No = The policy does not have a blanket sales prohibition on the category of ESDs/vaping products.
	Note: In order for a policy to apply to Electronic Smoking Devices (ESDs), it must either specifically name them or have language in the definition of "tobacco product" that contains something akin to the following: "a product containing or delivering nicotine or any other substance intended for human consumption that can be used by a person in any manner for the purpose of inhaling vapor or aerosol from the product" or "e-cigarette" or similar terminology specifically associated with ESDs or "a product or formulation of matter containing biologically active amounts of nicotinewith the expectation that the productwill be introduced into the human body." If a place prohibits the sale of ESDs that are new products and require FDA approval, or that require premarket review, or any similar language, note the exact language in the comments section and include "FDA" in the note. A policy adopted prior to 2008 would also be considered to apply to

nicotine delivery devices or products/ESDs containing nicotine, even though ESDs were not on the market at the time. If partial, mark all
partial options that apply.

Question	9a. If Partial, are products authorized for sale by FDA exempt?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = Policy ends the sale of all ESDs/vaping products in the municipality, except for products that have been authorized for sale under the FDA's premarket review process.
	No = Policy does not have an exemption for ESDs/vaping products that have been authorized for sale under the FDA's premarket review process.
	Note: Some policies contain an exemption for ESDs/vaping products that have been authorized for sale under the U.S. Food and Drug Administration's premarket review process, which commenced for ESDs in 2021. FDA-approved product exemption language typically contains the term "premarket review" and/or "new tobacco products," which are tobacco products not marketed in the United States before February 15, 2007, including all newly "deemed" tobacco products such as e-cigarettes, hookah, and cigars.

Question	9b. If Partial, are adult-only/specialty retailers exempt?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = Policy ends the sale of all ESDs/vaping products in the municipality, except at adult-only and/or specialty tobacco retailers.
	No = Policy does not have an exemption allowing sale of ESDs/vaping products at adult-only and/or specialty tobacco retailers.

Question	9c. If Other Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q9 is Other Partial, describe the partial prohibition/exemption(s) with regard to ESDs/vaping products.
	Note: Examples of partial ESDs/vaping product exemptions adopted by jurisdictions include: ending the sale of all ESDs except for products that do not contain nicotine, either by specifically exempting products that do not contain nicotine or defining ESDs to only include products containing nicotine; ending the sale of only single-use ESDs; ending the sale of all ESDs except for sales in hotels for guests.

Question	9d. If Yes or Partial, is this provision set out within the framework of a
	TRL?

Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	10. Does the policy end the sale of all non-therapeutic nicotine
	derived products other than those covered in 8 and 9?
Category	Restrictiveness
Response Options & Scores	• Yes=5
	Partial=3
	• No=0
Total number of points	5
Coder instructions	Yes = Policy ends the sale without exception of all non-therapeutic (i.e. not approved for treatment/cessation) nicotine-derived products other than those in categories specified above in Q8 and Q9.
	Partial (text field) = Policy ends the sale of one or more non- therapeutic nicotine-derived products other than those in categories specified above that are exempted from blanket sale prohibition, or one or more venues where sales are permitted.
	No = The policy does not have a blanket sales prohibition on the category of non-therapeutic nicotine-derived products other than addressed in categories above.
	Note: This question captures whether the policy ends the sale of non-therapeutic (i.e. not approved for treatment/cessation) nicotine-derived products other than those covered by Q8 (tobacco-leaf products) and Q9 (ESDs), meaning that this question captures ending the sale of tobacco-free nicotine products like pouches such as Zyn.

Question	10a. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q10 is Partial, specify the non-therapeutic nicotine-derived
	product(s) other than those in categories specified above that are
	exempted, or specify the venue(s) where sale is permitted.

Question	10b. If Yes or Partial, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	11. Does the policy end the sale of "tobacco look-alike" edible products such as candy cigarettes/gum/cigars?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0

Coder instructions	Yes = Policy ends the sale of one or more edible tobacco look-alike products in the municipality, such as candy cigarettes, gum, or cigars.
	No = Policy does not end the sale of the category of tobacco look-alike products.
	Note: This question captures whether the policy ends the sale of one or more edible tobacco look-alike products, such as candy cigarettes, gum, or cigars, that are typically marketed to children and are of concern because they can mimic and normalize smoking and tobacco use. Studies have shown that children who use candy cigarettes have an increased risk of smoking as adults.

Question	12. Does the policy require a certain percentage of windows and doors to be clear of signage?
Category	Restrictiveness
Response Options & Scores	 No advertising permitted (100% of space is clear)=3 67%-99% is clear=2 34%-66% is clear=1 33% per state law requirement, or less, is clear=0
Total number of points	3
Coder instructions	No advertising permitted (100% of space is clear) = The policy prohibits tobacco/ESD product advertisements from doors/windows of tobacco retail stores.
	67%-99% is clear = The policy requires that 67%-99% of space on doors/windows of tobacco retail stores be free of tobacco/ESD advertisements.
	34%-66% is clear = The policy requires that 34%-66% of space on doors/windows of tobacco retail stores be free of tobacco/ESD advertisements.
	33% per state law requirement, or less, is clear = The policy either requires the state law mandated minimum of 33% of space on doors/windows of tobacco retail stores be free of tobacco/ESD advertisements, or the policy has no restrictions on the percent of doors/windows of tobacco retail stores that must be free of tobacco/ESD advertisements.
	Note: This question captures whether the policy requires that a certain percentage of the windows and doors of retail tobacco stores be clear of signage for tobacco/ESD product advertisements. This is part of a larger category of restrictions on the placement of tobacco/ESD product advertisements in tobacco retail stores (e.g., limitations on advertisements visible to the street, point-of-purchase restrictions).

Question	12a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	13. Does the policy require licensees to display the local TRL at the
	licensed location?
Category	Restrictiveness
Response Options & Scores	• Yes=1
	No/Not Specified=0
Total number of points	1
Coder instructions	Yes = Retailers must display their local TRL at the licensed location.
	No/Not specified = There is no requirement for retailers to display their
	local TRL at the licensed location, or the policy does not address local
	TRL on-site display.

Question	14. Does the policy require a clerk to check the ID of a purchaser of regulated products and/or paraphernalia who appears under a certain age greater than 21 (e.g. 27)?
Category	Enforcement
Response Options & Scores	• Yes=1
	• No=0
Total number of points	1
Coder instructions	Yes = The policy requires an ID check to sell to purchasers who appear to be under a certain age, and the stated "minimum apparent age" is not 21, but is instead older.
	No = The policy does not require an ID check to sell to purchasers who appear to be under a certain age, or the stated "minimum apparent age" is 21 or younger.

Question	14a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	15. Does the policy require clerks engaged in product retailing be at least 21 years old (the minimum age to which tobacco products may be sold)?
Category	Enforcement
Response Options & Scores	• Yes=1
	• No=0
Total number of points	1
Coder instructions	Yes = The policy requires clerks/persons engaged in tobacco retailing to be at least 21.
	No = The policy does not require clerks/persons engaged in tobacco retailing to be at least 21.

Question	15a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ

Coder instructions	

Question	16. Are retailers with a suspended or revoked TRL required to keep all regulated products and/or paraphernalia out of public view?
Category	Enforcement
Response Options & Scores	• Yes=1
	No/No TRL=0
Total number of points	1
Coder instructions	Yes = Retailers with suspended or revoked TRL must keep regulated products and/or paraphernalia out of view.
	No = Retailers with suspended or revoked TRL are not required to keep regulated products and/or paraphernalia out of view.
	No TRL = Jurisdiction does not require tobacco retailers to have a local TRL.

Question	17. Are retailers with a suspended or revoked TRL required to keep all regulated products and/or paraphernalia-related advertisements out of public view?
Category	Enforcement
Response Options & Scores	Yes=1No/No TRL=0
Total number of points	1
Coder instructions	Yes = Retailers with suspended or revoked TRL must keep advertisements for regulated products and/or paraphernalia out of view.
	No = Retailers with suspended or revoked TRL are not required to keep advertisements for regulated products and/or paraphernalia out of view.
	No TRL = Jurisdiction does not require tobacco retailers to have a local TRL.

Question	18. Does the policy require that sale of tobacco leaf products be
	limited to persons 21 and over?
Category	Restrictiveness
Response Options & Scores	• Yes=2
	Partial=1
	• No=0
Total number of points	2
Coder instructions	Yes = The policy requires that sale of tobacco leaf products be limited to persons 21 and over.
	Partial = The policy requires that sale of some tobacco leaf products be limited to persons 21 and over, or requires that sale of some tobacco leaf products be limited to persons 21 and over in specified situations, or exempts certain situations from the age requirement, or lowers the age of sale for persons within certain groups (e.g. active duty military).
	No = The policy does not require that sale of tobacco leaf products be

limited to persons 21 and over.

Question	18a. If Partial, specify (e.g. active duty military exempt)?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q18 is Partial, specify the partial restriction, such as an exemption for active-duty military personnel.

Question	18b. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	19. Does the policy require that sale of ESD products be limited to persons 21 and over?
Category	Restrictiveness
Response Options & Scores	Yes=2Partial=1No=0
Total number of points	2
Coder instructions	Yes = The policy requires that sale of ESD products be limited to persons 21 and over.
	Partial = The policy requires that sale of some ESD products be limited to persons 21 and over, or requires that sale of some ESD products be limited to persons 21 and over in specified situations, or exempts certain situations from the age requirement, or lowers the age of sale for persons within certain groups (e.g. active duty military).
	No = The policy does not require that sale of ESD products be limited to persons 21 and over.

Question	19a. If Partial, specify (e.g. active duty military exempt)?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q19 is Partial, specify the partial restriction, such as an exemption for
	active-duty military personnel.

Question	19b. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	20. Does the policy require that all sales of tobacco leaf products

	and/or paraphernalia be face-to-face (i.e. restrict self-service displays)?
Category	Restrictiveness
Response Options & Scores	 Yes=2 Partial - Specific to Paraphernalia=1 Other Partial/No=0
Total number of points	2
Coder instructions	Yes = The policy prohibits stocking any tobacco leaf products and tobacco-related paraphernalia/components/parts/accessories in displays accessible to the public without the assistance of the merchant, thus requiring that these products be kept behind or above the counter, so that they are only accessible by the store clerk.
	Partial-Specific to Paraphernalia = The policy only prohibits stocking any tobacco-related paraphernalia/components/parts/accessories in displays accessible to the public without the assistance of the merchant, thus requiring that these products be kept behind or above the counter, so that they are only accessible by the store clerk.
	Other Partial = The policy partially prohibits stocking tobacco products in displays accessible to the public without the assistance of the merchant, in some manner other than, or in addition to, all tobacco leaf products/paraphernalia, or paraphernalia only. Examples include prohibiting stocking single packs of cigarettes in self-service displays but permitting stocking unbroken cartons of cigarettes, or exempting tobacco retail stores and/or adult-only venues where patrons must be over 18 or 21 years of age to enter.
	No = The policy does not restrict the sale of tobacco leaf products in self-service displays, or only limits the distance the displays may be from store clerks.
	Note: This question captures if the policy restricts in some manner stocking tobacco leaf products in displays accessible to the public without the assistance of the merchant, thus requiring that tobacco products be kept behind or above the counter, so that they are only accessible by the store clerk. These policies are sometimes worded to require that the tobacco products can only be obtained with the assistance of a clerk, known as "vendor-assisted sales." Some policies only specify that the self-service displays must be located within a certain distance of store clerks, which are not considered to require face-to-face sales/prohibit self-service displays because they do not require the intervention of a store clerk.

Question	20a. If Other Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q20 is Other Partial, specify the partial coverage.

Question	20b. If Yes/Partial, is this provision set out within the framework of a
	TRL?

Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	21. Does the policy require that all sales of self-service ESD products be face-to-face (i.e. restrict self-service displays)?
Category	Tracking
Response Options & Scores	Yes; Partial - Specific to Paraphernalia; Other Partial; No
Total number of points	0
Coder instructions	Yes = The policy prohibits stocking ESD products in displays accessible to the public without the assistance of the merchant, thus requiring that ESD products be kept behind or above the counter, so that they are only accessible by the store clerk.
	Paraphernalia only = The policy only prohibits stocking any ESD-related paraphernalia/components/parts/accessories in displays accessible to the public without the assistance of the merchant, thus requiring that these products be kept behind or above the counter, so that they are only accessible by the store clerk.
	Other Partial = The policy partially prohibits stocking ESD products in displays accessible to the public without the assistance of the merchant. Examples include exempting specialty retailers and other adult venues where patrons must be over 18 or 21 years of age to enter.
	No = The policy does not restrict the sale of ESD products in self-service displays, or only limits the distance the displays may be from store clerks.
	Note: This question captures if the policy restricts in some manner stocking ESD products in displays accessible to the public without the assistance of the merchant, thus requiring that ESD products be kept behind or above the counter, so that they are only accessible by the store clerk. These policies are sometimes worded to require that ESD products can only be obtained with the assistance of a clerk, known as "vendor-assisted sales." Some policies only specify that the self-service displays must be located within a certain distance of store clerks, which are not considered to require face-to-face sales/prohibit self-service displays because they do not require the intervention of a store clerk.

Question	21a. If Other Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q21 is Other Partial, specify the partial coverage.

Question	21b. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No

Total number of points	θ
Coder instructions	

Question	22. Does the policy require payment (as opposed to distribution of
	free samples) for tobacco leaf products and/or paraphernalia?
Category	Restrictiveness
Response Options & Scores	• Yes=2
	Partial/No=0
Total number of points	2
Coder instructions	Yes = The policy prohibits the free distribution of tobacco product samples to everyone, regardless of age.
	Partial = The policy prohibits the free distribution of tobacco product samples to some people, or in some circumstances. Examples include prohibiting providing tobacco samples to minors but permitting providing tobacco samples to adults, or prohibiting sampling in certain venues such as on city property.
	No = The policy does not restrict the distribution of tobacco samples to adults.
	Note: Some policies may exempt private clubs or other areas where minors may not enter. Policies that only prohibit providing tobacco samples to minors but permit distribution to adults are not marked "yes", as they provide no restrictions greater than those already provided by state law since tobacco sales are not permitted to people under age 21; such policies are marked partial. Policies that prohibit sampling in certain venues such as on city property are not marked "yes" but are marked partial.

Question	22a. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q22 is Partial, specify the partial circumstances.

Question	22b. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	22c. Are ESDs treated the same as tobacco?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = All ESD product sampling is restricted to the same degree as tobacco products. No = ESD sampling is not restricted to the same degree as tobacco

products.

Question	22c1. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	23. Does the policy require full price on tobacco leaf products and/or paraphernalia?
Category	Restrictiveness
Response Options & Scores	• Yes=2
	Partial=1
	• No=0
Total number of points	2
Coder instructions	Yes = The policy completely prohibits both discounts of tobacco leaf products AND coupons, to everyone, regardless of age/venue.
	Partial = The policy prohibits only discounts of tobacco leaf products, or only coupons, or regulates discounts but does not completely prohibit them, or some other partial scenario.
	No = The policy permits both discounts of tobacco leaf products AND coupons, or the issue is not addressed.
	Note: This question captures whether a policy has restrictions on the use of coupons and discounts for tobacco leaf products. Examples of requiring full price including prohibiting the redemption of coupons that allow purchase for less than full retail price, and prohibiting free or discounted sales in consideration of purchase of another tobacco item.

Question	23a. If Yes/Partial, are loyalty programs (e.g. "Earn this many points, get a free rolling paper") specifically regulated?
Category	Tracking
Response Options & Scores	Yes; Not Specified; No
Total number of points	0
Coder instructions	Yes = Loyalty programs restricted in some manner, for tobacco leaf products.
	No = Loyalty programs are expressly permitted for tobacco leaf products.
	Not Specified = Policy does not specify whether loyalty programs are restricted.

Question	23b. If Yes/Partial, are discounts regulated?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = Discounts of tobacco leaf products are restricted in some manner

(e.g. prohibited outright, or prohibited in consideration for purchase of another item).
No = Discounts of tobacco leaf products are not restricted in any
manner.

Question	23c. If Yes/Partial, are coupons prohibited?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = Coupons for tobacco leaf products are prohibited from redemption, regardless of age/venue. No = Coupons for tobacco leaf products are not fully prohibited.

Question	23d. If Partial, list any other pricing schemes.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q23 is Partial other than as specified in Q23a, Q23b, or Q23c, specify the partial circumstances.
	Note: The ordinance restricts discounts/coupons of tobacco leaf products in some manner, but other than above. Note the partial circumstances in text field.

Question	23e. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	23f. Are ESDs treated the same as tobacco?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = All discounts/coupons for ESDs are restricted to the same degree as those for tobacco leaf products.
	No = Discounts/coupons for ESDs are not treated the same as tobacco leaf products.

Question	23f1. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	24. Does the policy regulate the pack size/volume of regulated
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	products except for cigarettes?
Category	Restrictiveness
Response Options & Scores	 Yes, 20 or greater (for any of the products below)=2 Yes, less than 20 (for any of the products below)=1 No=0
Total number of points	2
Coder instructions	Yes, 20 or greater (for any of the products below) = The policy requires a minimum pack size of 20 or greater for any product(s) specified below, other than cigarettes.
	Yes, less than 20 (for any of the products below) = The policy requires a minimum pack size of less than 20 for any product(s) specified below, other than cigarettes.
	No = The policy does not require a minimum pack size for any product(s) specified below. If "No," the rest of the minimum price fields should be left blank.
	Note: This question captures minimum pack size requirements for tobacco products. If the policy contains an exemption for cigars of a certain price or higher, or so-called "premium cigars", and/or cigarillos/little cigars, note the specific terms of the exemption (e.g. "5, except single cigars costing more than \$5") and then the minimum price fields will also be filled out.

Question	24a. If Yes, what is the package size for cigars?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the ordinance requires a minimum pack size for cigars, specify the
	size.

Question	24b. If Yes, what is the package size for little cigars/cigarillos?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the ordinance requires a minimum pack size for little cigars/cigarillos,
	specify the size.

Question	24c. If Yes, what is the package size for ESDs?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the ordinance requires a minimum pack size for ESDs, specify the size.

Question	24d. If Yes, what is the package size for any other non-cigarette products not specified above?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the ordinance requires a minimum pack size for a product other than

those listed above, specify the product(s) and size(s).		those listed above, specify the product(s) and size(s).
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Question	24e. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	25. Does the policy require a minimum price for any regulated products? (If Yes, address relevant sub-questions.)
Category	Restrictiveness
Response Options & Scores	• Yes=2
	• No=0
Total number of points	2
Coder instructions	Yes = The policy prohibits selling any product(s) specified below for less than a minimum price.
	No = The policy does not prohibit selling any product(s) specified below for less than a minimum price. If "No," the rest of the minimum price fields should be left blank.

Question	25a. What is the minimum price for cigarettes?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the policy requires a minimum price for cigarettes, specify the price.

Question	25b. What is the minimum price for cigars?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the policy requires a minimum price for cigars, specify the price.

Question	25c. What is the minimum price for little cigars/cigarillos?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the policy requires a minimum price for little cigars/cigarillos, specify
	the price.

Question	25d. What is the minimum price for ESDs?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the policy requires a minimum price for ESDs, specify the price.

Question	25e. What is the minimum price for any other products not specified above?
Category	Tracking

Response Options & Scores	Text field
Total number of points	0
Coder instructions	If the policy requires a minimum price for a product other than those
	listed above, specify the product(s) and price(s).

Question	25f. If Yes or Partial, is this provision set out within the framework of
	a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	26. Does the policy preclude penalizing the purchase, use, or
	possession of regulated products by a person not engaged in tobacco retailing?
Category	Restrictiveness
Response Options & Scores	 Yes – Regardless of age, consumer is explicitly not penalized for Purchase, Use, and/or Possession =2 Yes – Underage consumer is explicitly not penalized for Purchase, Use, and/or Possession = 1 No = 0
Total number of points	2
Coder instructions	Yes – Regardless of age, consumer is explicitly not penalized for Purchase, Use, and/or Possession = The policy expressly prohibits penalizing any people who purchase and/or use and/or possess tobacco leaf products and/or ESDs for sales violations, or penalties are articulated in such a manner that they may only be reasonably interpreted to penalize a merchant when sales provisions are violated [i.e. consumer is explicitly protected from being penalized].
	Yes – Underage consumer is explicitly not penalized for Purchase, Use, and/or Possession = The policy does not expressly penalize minors from purchasing and/or using and/or possessing tobacco leaf products and/or ESDs [i.e. underage consumers are explicitly protected from being penalized].
	No = The policy penalizes a consumer of tobacco leaf products and/or ESDs in some manner other than underage purchase/use/possession, or penalties are articulated in such a manner that they may be reasonably interpreted to penalize parties other than merchants [i.e. no consumer penalty protections].

Question	26a. If underage only, what age specific to tobacco leaf?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q26 = "Yes – Underage consumer is explicitly not penalized for
	Purchase, Use, and/or Possession" of tobacco leaf products, then
	specify the age that qualifies for the protection (e.g. 18 or 21).

Question	26b. If underage only, what age specific to ESDs?
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Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q26 = "Yes – Underage consumer is explicitly not penalized for
	Purchase, Use, and/or Possession" of ESDs, then specify the age that
	qualifies for the protection (e.g. 18 or 21).

Question	26c. If Yes or Partial, is this provision set out within the framework of
	a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	27. Does the policy require that sales of regulated products take place only from fixed/permanent locations rather than mobile vendors/locations?
Category	Restrictiveness
Response Options & Scores	• Yes=1
	• No=0
Total number of points	1
Coder instructions	Yes = The policy requires that tobacco and/or nicotine products only be sold from a fixed location, rather than allowing sales via mobile vendors/locations.
	No = The policy does not contain a fixed location requirement.

Question	27a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	28. Does the policy explicitly preclude deliveries of regulated products from retail locations to customers in some manner?
Category	Restrictiveness
Response Options & Scores	• Yes=1
	• No=0
Total number of points	1
Coder instructions	Yes = The policy prohibits delivery of regulated products from retail locations to customers in some manner.
	No = The policy does not prohibit delivery of regulated products from retail locations to customers in some manner, or the issue is not addressed.

Question	28a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ

Coder instructions	

Question	29. Does the policy contain any provisions regulating online sales of regulated products?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy contains one or more provisions regulating online sales of regulated products.
	No = The policy does not contain any provisions regulating online sales of regulated products.

Question	30. Does the policy limit retail sales venues (stores and/or lounges) for any regulated products in proximity to residential areas?
Category	Restrictiveness
Response Options & Scores	 Yes, in all types of retail sales venues=2 Less than all types=1 No=0
Total number of points	2
Coder instructions	Yes, in all types of retail sales venues = The policy limits sales of any regulated products in all types of retail sales venues (stores and/or lounges) near residential areas.
	Less than all types=The policy limits sales of any regulated products in some types of retail sales venues (stores and/or lounges) near residential areas. If less than all types is selected, answer the following sub-questions to indicate which types of venues are covered.
	No = The policy does not limit sales of any regulated products near residential areas.

Question	30a. If less than all types, are cigar establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in cigar establishments near residential areas.
	No = The policy does not limit sales of regulated products in cigar establishments near residential areas.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	30b. If less than all types, are vape establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in vape

establishments near residential areas.
No = The policy does not limit sales of regulated products in vape establishments near residential areas.
Not Applicable = All such establishments are prohibited in the municipality.

Question	30c. If less than all types, are hookah establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in hookah establishments near residential areas.
	No = The policy does not limit sales of regulated products in hookah establishments near residential areas.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	30d. If residential proximity provision exists, how many feet?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the minimum number of feet required for retail sales of regulated products from residential areas.

Question	30e. If residential proximity provision exists, does it apply to existing businesses?
Catagoni	Tracking
Category	Hacking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy applies to existing businesses, or such an exemption is not mentioned.
	No = The policy exempts one or more types of existing businesses from its residential proximity requirement. If No, fill out Q30e1 to specify terms of exemption.

Question	30e1. If existing businesses are exempt, specify term of exemption (e.g. 3 years, permanently, etc.)
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the terms for existing businesses that are exempt.

Question	30f. If residential proximity provision exists, is this provision set out
	within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ

Coder instructions	

Question	31. Does the policy limit the number of retail sales venues (stores and/or lounges) based on population (i.e. x number of licenses granted per stated population)?
Category	Restrictiveness
Response Options & Scores	 Yes, all types of retail sales venues=2 Less than all types=1 No=0
Total number of points	2
Coder instructions	Yes, all types of retail sales venues = The policy establishes a maximum number of retail licenses per stated population for all types of establishments (stores and/or lounges) selling regulated products. Less than all types = The policy establishes a maximum number of retail licenses per stated population for some types of establishments selling any regulated products. If less than all types is selected, answer the following sub-questions to indicate which types of venues are covered. No = The policy does not establish a maximum number of retail licenses per stated population.

Question	31a. If less than all types, are cigar establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy establishes a maximum number of retail licenses per stated population for cigar establishments within the municipality.
	No = The policy does not establish a maximum number of retail licenses per stated population for cigar establishments specifically.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	31b. If less than all types, are vape establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy establishes a maximum number of retail licenses per stated population for vape establishments within the municipality.
	No = The policy does not establish a maximum number of retail licenses per stated population for vape establishments specifically.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	31c. If less than all types, are hookah establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable

Total number of points	0
Coder instructions	Yes = The policy establishes a maximum number of retail licenses per stated population for hookah establishments within the municipality.
	No = The policy does not establish a maximum number of retail licenses per stated population for hookah establishments specifically.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	31d. If population-based provision exists, what is the number of
	licenses granted per stated population?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the number of licenses granted per stated population (e.g. X
	number of licenses are allowed per Y population).

Question	31e. If population-based provision exists, does it apply to existing
	businesses?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy applies to existing businesses, or such an exemption is not mentioned.
	No = The policy exempts one or more types of existing businesses from its max license per stated population requirement. If No, fill out Q31e1 to specify terms of exemption.

Question	31e1. If existing businesses are exempt, specify term of exemption (e.g. 3 years, permanently, etc.)
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the terms for existing businesses that are exempt.

Question	31f. If population-based provision exists, is this provision set out
	within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	32. If #1 is Yes, does the policy establish a cap on total number of
	licenses of retail sales venues (stores and/or lounges) that can be
	issued within the municipality?
Category	Restrictiveness
Response Options & Scores	Yes, all types of retail sales venues=2
	Less than all types=1

	No/Not Applicable=0
Total number of points	2
Coder instructions	Yes, all types of sellers = The policy has a retailer license requirement, and the policy establishes a cap on the total number of such licenses.
	Less than all types = The policy has a retailer license requirement, and the policy establishes a cap on the total number of such licenses for some types of retail businesses.
	No/Not Applicable = The policy has a retailer license requirement but does not establish a cap on the number of licenses, or the policy does not contain a license requirement for retailers.

Question	32a. If there is a license cap, what is the maximum number of
	licenses?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If a license cap exists, state the maximum number of licenses specified
	by the policy.

Question	32a1. Is the cap reduced by attrition?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy contains a requirement to gradually reduce the number of licenses over time (attrition), such as by non-renewal of licenses/not issuing a new license when a business closes. No = The policy does not contain a requirement to gradually reduce the number of licenses over time (attrition).

Question	32b. If there is a license cap, are there designated geographic parameters (e.g. 5 per city district)?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy's license cap is subdivided by geographic parameters e.g. a maximum of 15 TRLs per City Council/Board of Supervisors district. No = The policy's license cap is not subdivided by geographic parameters.

Question	32b1. If Yes, what are the geographic parameters?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q32b is Yes, what are the geographic parameters for the policy's
	license cap?

Question	32c. Does it apply to existing businesses?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy applies to existing businesses, or such an exemption is not mentioned.
	No = The policy exempts one or more types of existing businesses from the license cap requirement. If No, fill out Q32c1 to specify terms of exemption.

Question	32c1. If existing businesses are exempt, specify term of exemption (e.g. 3 years, permanently, etc.)
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the terms for existing businesses that are exempt.

Question	32d. If less than all types, are cigar establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy requires a license cap for cigar establishments in the municipality.
	No = The policy does not require a license cap for cigar establishments, or the issue is unclear/not addressed.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	32e. If less than all types, are vape establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy requires a license cap for vape establishments in the municipality.
	No = The policy does not require a license cap for vape establishments, or the issue is unclear/not addressed.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	32f. If less than all types, are hookah establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy requires a license cap for hookah establishments in the municipality.

No = The policy does not require a license cap for hookah establishments, or the issue is unclear/not addressed.
Not Applicable = All such establishments are prohibited in the
municipality.

Question	33. Does the policy limit the proximity of retail sales venues (stores and/or lounges) to other tobacco retailers?
Category	Restrictiveness
Response Options & Scores	 Yes, all types of retail sales venues=2 Less than all types=1 No=0
Total number of points	2
Coder instructions	Yes, in all types of retail sales venues = The policy limits sales of any regulated products in all types of retail sales venues (stores and/or lounges) near other tobacco retailers.
	Less than all types=The policy limits sales of any regulated products in some types of retail sales venues (stores and/or lounges) near other tobacco retailers. If less than all types is selected, answer the following sub-questions to indicate which types of venues are covered.
	No = The policy does not limit sales of any regulated products near other tobacco retailers.

Question	33a. If less than all types, are cigar establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in cigar establishments near other such retailers.
	No = The policy does not limit sales of regulated products in cigar establishments near other such retailers.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	33b. If less than all types, are vape establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in vape establishments near other such retailers.
	No = The policy does not limit sales of regulated products in vape establishments near other such retailers.
	Not Applicable = All such establishments are prohibited in the municipality.

Question 33c. If less than all types, are hookah establishments covered?	
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Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in vape establishments near other such retailers.
	No = The policy does not limit sales of regulated products in vape establishments near other such retailers.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	33d. If commercial proximity provision exists, how many feet?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the minimum number of feet required for retail sales of
	regulated products from other such retailers.

Question	33e. If commercial proximity provision exists, does it apply to existing
	businesses?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy applies to existing businesses, or such an exemption is not mentioned.
	No = The policy exempts one or more types of existing businesses from its proximity requirement regarding other such retailers. If No, fill out 33e1 to specify terms of exemption.

Question	33e1. If existing businesses are exempt, specify term of exemption (e.g. 3 years, permanently, etc.)
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the terms for existing businesses that are exempt.

Question	33f. If commercial proximity provision exists, is this provision set out
	within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	34. Does the policy limit retail sales venues' (stores and/or lounges) proximity to youth-populated areas such as schools, parks, and/or childcare?
Category	Restrictiveness
Response Options & Scores	• Yes, 1000 ft. or more=2

	Yes, less than 1000 ft.=1No=0
Total number of points	2
Coder instructions	Yes, 1000 ft. or more = The policy requires that all or some types of retail sales venues be located a specified distance of 1000 ft. or more from youth-populated areas/venues.
	Yes, less than 1000 ft. = The policy requires that all or some types of retail sales venues be located a specified distance of less than 1000 ft. from youth-populated areas/venues.
	No = The policy does not limit the distance of retail sales venues from youth-populated areas/venues.

Question	34a. If Yes, what is the required distance?
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the minimum number of feet required for retail sales venues
	from youth-populated areas/venues.

Question	34b. If Yes, what types of retail sales venues?
Category	Tracking
Response Options & Scores	All; Less than all
Total number of points	0
Coder instructions	All = The policy limits retail sales of any regulated products in all types of retail venues located near youth-populated areas/venues.
	Less than all = The policy limits retail sales of any regulated products in some, but not all, types of retail venues located near youth-populated areas/venues.

Question	34b1. If less than all types, are cigar establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in cigar establishments near youth venues.
	No = The policy does not limit sales of regulated products in cigar establishments near youth venues.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	34b2. If less than all types, are vape establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in vape establishments near youth venues.

No = The policy does not limit sales of regulated products in vape establishments near youth venues.
Not Applicable = All such establishments are prohibited in the
municipality.

Question	34b3. If less than all types, are hookah establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in hookah establishments near youth venues.
	No = The policy does not limit sales of regulated products in hookah establishments near youth venues.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	34c. If Yes, does it apply to existing businesses?
Category	Restrictiveness
Response Options & Scores	• Yes=1
	• No=0
Total number of points	1
Coder instructions	Yes = The policy applies to existing businesses, or such an exemption is not mentioned.
	No = The policy exempts one or more types of existing businesses from the youth-proximity requirements. If No, fill out Q34c1 to specify terms of exemption.

Question	34c1. If existing businesses are exempt, specify term of exemption (e.g. 3 years, permanently, etc.)
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the terms for existing businesses that are exempt.

Question	34d. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	35. Does the policy limit proximity of retail sales venues (stores and/or lounges) to marijuana retailers?
Category	Restrictiveness
Response Options & Scores	Yes, all types of retail sales venues=2
	Less than all types=1
	• No=0

Total number of points	2
Coder instructions	Yes, in all types of retail sales venues = The policy limits sales of any regulated products in all types of retail sales venues (stores and/or lounges) near marijuana retailers.
	Less than all types = The policy limits sales of any regulated products in some types of retail sales venues (stores and/or lounges) near marijuana retailers. If less than all types is selected, answer the following sub-questions to indicate which types of venues are covered.
	No = The policy does not limit sales of any regulated products near marijuana retailers.

Question	35a. If less than all types, are cigar establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in cigar establishments near marijuana retailers.
	No = The policy does not limit sales of regulated products in cigar establishments near marijuana retailers.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	35b. If less than all types, are vape establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in vape establishments near marijuana retailers.
	No = The policy does not limit sales of regulated products in vape establishments near marijuana retailers.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	35c. If less than all types, are hookah establishments covered?
Category	Tracking
Response Options & Scores	Yes; No; Not Applicable
Total number of points	0
Coder instructions	Yes = The policy limits sales of any regulated products in hookah establishments near marijuana retailers.
	No = The policy does not limit sales of regulated products in hookah establishments near marijuana retailers.
	Not Applicable = All such establishments are prohibited in the municipality.

Question	35d. If marijuana retailer proximity provision exists, how many feet?
Question	350. If marijuana retailer proximity provision exists, now many feet?

Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the minimum number of feet required for retail sales of
	regulated products from marijuana retailers.

Question	35e. If marijuana retailer proximity provision exists, does it apply to
	existing businesses?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy does not exempt any existing businesses, or such an exemption is not mentioned.
	No = The policy exempts one or more types of existing businesses from its proximity requirement regarding marijuana retailers. If No, fill out Q35e1 to specify terms of exemption

Question	35e1. If existing businesses are exempt, specify term of exemption (e.g. 3 years, permanently, etc.)
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the terms for existing businesses that are exempt.

Question	35f. If marijuana retailer proximity provision exists, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	36. Does the policy end allowance for adult-only/specialty retailers in the municipality?
Category	Restrictiveness
Response Options & Scores	 Yes, all types of adult-only/specialty retailers=2 Less than all types=1 No=0
Total number of points	2
Coder instructions	Yes, all types of adult-only/specialty retailers = The policy prohibits all adult-only/specialty retailers in the municipality.
	Less than all types = The policy prohibits some but not all types of adult-only/specialty retailers in the municipality. If less than all types is selected, answer the following sub-questions to indicate which types of venues are covered.
	No = The policy does not impose any sort of blanket prohibition on adult-only/specialty retailers in the municipality.

Question	36a. If less than all types, are cigar establishments covered?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy prohibits cigar establishments in the municipality.
	No = The policy does not prohibit cigar establishments, or the issue is unclear/not addressed.

Question	36b. If less than all types, are vape establishments covered?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy prohibits vape establishments in the municipality.
	No = The policy does not prohibit vape establishments, or the issue is unclear/not addressed.

Question	36c. If less than all types, are hookah establishments covered?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy prohibits hookah establishments in the municipality.
	No = The policy does not prohibit hookah establishments, or the issue is unclear/not addressed.

Question	36d. If provision exists to end allowance for adult-only/specialty retailers in the municipality, does it apply to existing businesses?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy does not exempt any existing businesses, or such an exemption is not mentioned.
	No = The policy exempts one or more types of existing businesses from its prohibition on adult-only/specialty retailers. If No, fill out Q36d1 to specify terms of exemption

Question	36d1. If existing businesses are exempt, specify term of exemption (e.g. 3 years, permanently, etc.)
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the terms for existing businesses that are exempt, including if
	the exemption is permanent.

Question	36e. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No

Total number of points	θ
Coder instructions	

Question	37. If there is any type of adult-only/specialty retailer exemption in the policy, are they required to have a certain percentage of revenue from regulated product sales, in order to qualify for the exemption?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy specifies a percentage of regulated product sales required, at minimum, to qualify for any adult-only/specialty retailer exemption. Use the text field to specify the minimum percent.
	No = The policy does not specify a percentage of regulated product sales required, at minimum, to qualify for any adult-only/specialty retailer exemption.

Question	37a. If Yes, specify percentage.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	Specify the minimum percentage required to qualify for an adult-only/specialty retailer exemption.

Question	38. Does the policy <u>end the sale</u> of tobacco leaf products in pharmacies and retailers that contain a pharmacy counter?
Category	Restrictiveness
Response Options & Scores	• Yes=2
	• No =0
Total number of points	2
Coder instructions	Yes = The policy prohibits selling tobacco leaf products in pharmacies.
	No = The policy does not prohibit selling tobacco leaf products in pharmacies.

Question	38a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	38b. Are ESDs included?
Category	Tracking
Response Options & Scores	Yes; Partial; No
Total number of points	0
Coder instructions	Yes = The policy prohibits the sale of tobacco leaf products in pharmacies, and ESDs are included in the prohibition. Partial = The policy prohibits the sale of tobacco leaf products in
	pharmacies, and ESDs are partially included in the prohibition. If partial,

describe the partial prohibition in Q38b1.
No = The policy prohibits the sale of tobacco leaf products in
pharmacies, but ESDs are not included in the prohibition.

Question	38b1. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q38b is Partial, specify the partial inclusion of ESDs in the prohibition
	of tobacco leaf product sales in pharmacies.

Question	38b2. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	39. Does the policy <u>end the sale</u> of tobacco leaf products in health facilities (e.g. mental health facilities, hospitals, etc.)?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy prohibits selling tobacco leaf products in health facilities. No = The policy does not prohibit selling tobacco leaf products in health facilities.

Question	39a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	39b. Are ESDs included?
Category	Tracking
Response Options & Scores	Yes; Partial; No
Total number of points	0
Coder instructions	Yes = The policy prohibits the sale of tobacco leaf products in health facilities, and ESDs are included in the prohibition.
	Partial = The policy prohibits the sale of tobacco leaf products in health facilities, and ESDs are partially included in the prohibition. If partial, describe the partial prohibition in Q38b1.
	No = The policy prohibits the sale of tobacco leaf products in health facilities, but ESDs are not included in the prohibition.

Question 3901. If Partial, Specify.	Question	39b1. If Partial, specify.
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Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q39b is Partial, specify the partial inclusion of ESDs in the prohibition
	of tobacco leaf product sales in health facilities.

Question	39b2. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	40. Does the policy end the sale of tobacco leaf products in restaurants?
Catagony	Restrictiveness
Category	Restrictiveness
Response Options & Scores	• Yes=1
	• No =0
Total number of points	1
Coder instructions	Yes = The policy prohibits selling tobacco leaf products in restaurants.
	No = The policy does not prohibit selling tobacco leaf products in restaurants.

Question	40a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	40b. Are ESDs included?
Category	Tracking
Response Options & Scores	Yes; Partial; No
Total number of points	0
Coder instructions	Yes = The policy prohibits the sale of tobacco leaf products in restaurants, and ESDs are included in the prohibition.
	Partial = The policy prohibits the sale of tobacco leaf products in restaurants, and ESDs are partially included in the prohibition. If partial, describe the partial prohibition in Q40b1.
	No = The policy prohibits the sale of tobacco leaf products in restaurants, but ESDs are not included in the prohibition.

Question	40b1. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q40b is Partial, specify the partial inclusion of ESDs in the prohibition
	of tobacco leaf product sales in restaurants.

Question	40b2. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	41. Does the policy end the sale of tobacco leaf products in bars?
Category	Restrictiveness
Response Options & Scores	• Yes=1
	• No=0
Total number of points	1
Coder instructions	Yes = The policy prohibits selling tobacco leaf products in bars.
	No = The policy does not prohibit selling tobacco leaf products in bars.

Question	41a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	41b. Are ESDs included?
Category	Tracking
Response Options & Scores	Yes; Partial; No
Total number of points	0
Coder instructions	Yes = The policy prohibits the sale of tobacco leaf products in bars, and ESDs are included in the prohibition.
	Partial = The policy prohibits the sale of tobacco leaf products in bars, and ESDs are partially included in the prohibition. If partial, describe the partial prohibition in Q41b1.
	No = The policy prohibits the sale of tobacco leaf products in bars, but ESDs are not included in the prohibition.

Question	41b1. If Partial, specify.
Category	Tracking
Response Options & Scores	Text field
Total number of points	0
Coder instructions	If Q41b is Partial, specify the partial inclusion of ESDs in the prohibition
	of tobacco leaf product sales in bars.

Question	41b2. If Yes/Partial, is this provision set out within the framework of a
	TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	42. Which party is assessed any sales penalties?
Category	Tracking
Response Options & Scores	Owner; Clerk; Both; Not Specified
Total number of points	θ
Coder instructions	

Question	43. If #1 is Yes, is it an explicit violation of the TRL to be a retailer
	without a local license?
Category	Enforcement
Response Options & Scores	◆ Yes=1
	◆ No/Not Applicable=0
Total number of points	1
Coder instructions	

Question	44. Is a violation of any higher level jurisdiction's sales law(s) also an
	explicit violation of the local policy?
Category	Enforcement
Response Options & Scores	◆ Yes=1
	◆ No=0
Total number of points	1
Coder instructions	

Question	44a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	45. Does the policy specify a government entity to be responsible for enforcing the policy?
Category	Enforcement
Response Options & Scores	• Yes=2
	• No=0
Total number of points	2
Coder instructions	Yes = The policy names a specific government entity who will be responsible for enforcement.
	No = The policy does not name a specific government entity who will be responsible for enforcement, e.g. policy says "The City" but doesn't define "The City" further.

Question	45a. If Yes, health agency?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question 45b. If Yes, law-enforcement?
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Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	45c. If Yes, fire department?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	45d. If Yes, code enforcement officer?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	45e. If Yes, elected officials?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	45f. If Yes, administrator?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	45g. If Yes, other
Category	Tracking
Response Options & Scores	Text field
Total number of points	θ
Coder instructions	

Question	45h. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	46. Are compliance checks required?
Category	Enforcement
Response Options & Scores	Yes, MUST do compliance checks=2
	Yes, MAY do compliance checks=1
	No compliance checks=0
Total number of points	2
Coder instructions	Yes, MUST do compliance checks = The policy requires compliance checks.

Yes, MAY do compliance checks = The policy provides for compliance checks but does not state that they are required.
No compliance checks = The policy does not provide for compliance
check/not addressed.

Question	46a. If Yes, name any specified agency/agencies responsible for
	compliance checks.
Category	Tracking
Response Options & Scores	Text field
Total number of points	θ
Coder instructions	

Question	46b. If Yes, how often are enforcement agencies required to perform
	compliance checks?
Category	Enforcement
Response Options & Scores	Once a year or more=2
	Less than once a year/At the discretion of the enforcing
	agency=1
	No mention of frequency=0
Total number of points	2
Coder instructions	

Question	46c. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	47. If decoys below legal sales/purchase age are used in compliance checks, are they granted immunity?
Category	Tracking
Response Options & Scores	Yes; No; No mention of youth decoys
Total number of points	0
Coder instructions	Yes = Decoys below legal sales/purchase age that are used in compliance checks are granted immunity.
	No = Decoys below legal sales/purchase age that are used in compliance checks are not granted immunity
	No mention of youth decoys = The policy does not contain immunity provisions for decoys below sales/purchase age.

Question	48. Does the policy state that a TRL can be suspended and/or revoked? If yes, address sub-questions.
Category	Enforcement
Response Options & Scores	 Yes, suspension AND revocation/Yes, revocation only=2 Yes, suspension only=1 No=0
Total number of points	2

Coder instructions	Yes, suspension AND revocation = The policy provides for suspension and revocation of the license for any violation of the licensing requirements (not necessarily the first or second violation).
	Yes, revocation only = The policy provides for revocation of the license for any violation of the licensing requirements (not necessarily the first or second violation).
	Yes, suspension only = The policy provides for suspension of the license for any violation of the licensing requirements (not necessarily the first or second violation).
	No = The policy does not expressly provide for revocation or suspension of the license for a violation of the licensing requirements.

Question	48a. Suspension and revocation
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy provides for suspension and revocation of the license for any violation of the licensing requirements (not necessarily the first or second violation).
	No = The policy does not provide for suspension and revocation of the license for any violation of the licensing requirements.

Question	48b. Suspension only
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy provides for suspension of the license for any violation of the licensing requirements (not necessarily the first or second violation). No = The policy does not provide for suspension of the license for any violation of the licensing requirements.

Question	48c. Revocation only
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	0
Coder instructions	Yes = The policy provides for revocation of the license for any violation of the licensing requirements (not necessarily the first or second violation).
	No = The policy does not provide for revocation of the license for any
	violation of the licensing requirements.

Question	49. Does the policy state that regulated products and/or
	paraphernalia may be seized and/or destroyed if a retailer violates
	the policy?
Category	Enforcement

Response Options & Scores	◆ Yes=1 ◆ No=0
Total number of points	1
Coder instructions	

Question	49a. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	50. If #1 is Yes, does the policy specify a window of time (e.g. a look-
	back period) to track cumulative violations for suspending or revoking
	a license?
Category	Enforcement
Response Options & Scores	 Yes, 5 or more years=2 Yes, 0 to less than 5 years=1 No mention of window of time to track violations in the policy/No=0
Total number of points	2
Coder instructions	

Question	51. Does the policy include one or more penalty options other than suspension/revocation? If yes, select all that apply.
Category	Enforcement
Response Options & Scores	• Yes=1
	• No=0
Total number of points	2
Coder instructions	Yes = The policy includes one or more penalty options other than suspension/revocation. If yes, select all that apply. No = The policy does not include penalty options other than
	suspension/revocation.

Question	51a. If Yes, is there a graduated penalty system?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	51b. If Yes, are fines a possible penalty?
Category	Enforcement
Response Options & Scores	◆ Yes=1
	<u> </u>
Total number of points	1
Coder instructions	

Question	51b1. If Yes, list all fines. (For example: "1st penalty = \$100, 2nd =
	\$250," or Not Specified, etc.)

Category	Tracking
Response Options & Scores	Text field
Total number of points	θ
Coder instructions	

Question	51c. If Yes, is infraction a possible penalty?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	51d. If Yes, is misdemeanor a possible penalty?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	51e. If Yes, is injunction/abatement of nuisance a possible penalty?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

Question	51f. Other specified penalties?
Category	Tracking
Response Options & Scores	Text field
Total number of points	θ
Coder instructions	

Question	51g. If Yes, is this provision set out within the framework of a TRL?
Category	Tracking
Response Options & Scores	Yes; No
Total number of points	θ
Coder instructions	

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